

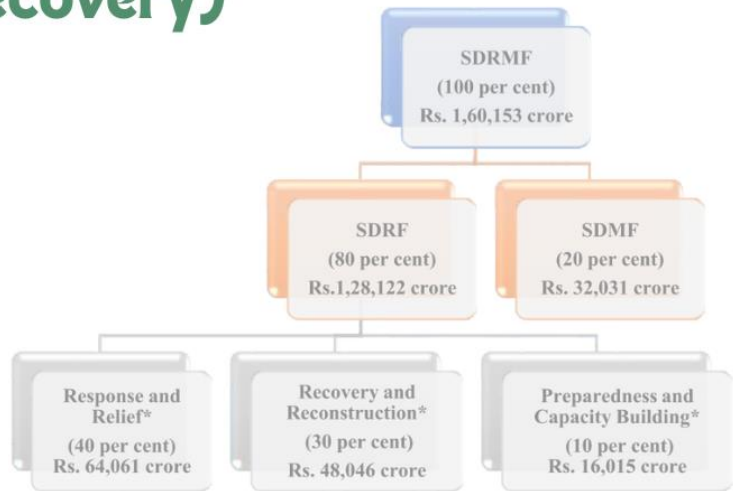


## Webinar on

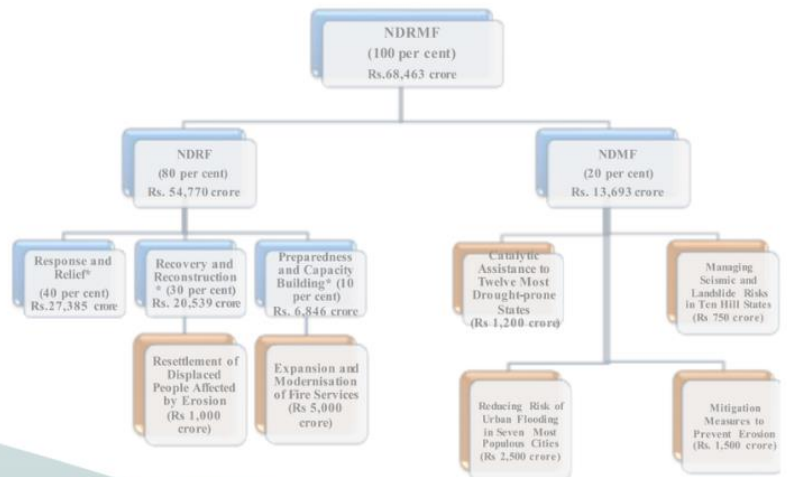
# Funding for Disaster Risk Reduction: 15th Finance Commission & Guidelines (Relief, Mitigation & Recovery)

17 August 2022,  
11 am – 1 pm

## REPORT



**EARMARKED FUNDS FOR NDRMF**



National Institute of Disaster Management (NIDM)  
(Ministry of Home Affairs, Government of India),  
Plot no. 15, Pocket-3, Block-B, Sector-29, Rohini, Delhi -110042

Organized by:  
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*Disclaimer:*

*The views, information, or opinions expressed during the workshop are solely those of the individuals involved and do not necessarily represent the view of the National Institute of Disaster Management (NIDM).*

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## About NIDM



The National Institute of Disaster Management (NIDM), is a statutory organization of the Government of India under Ministry of Home Affairs, established to function within the policies & guidelines laid down under the Disaster Management Act, 2005, and to cater the needs of research, training, documentation and publication in the area of disaster management, including natural (geological, hydrological, climatic), and human induced (chemical, industrial, nuclear, environmental) hazards. It is a premier national institute working for human resource development at the apex level in the area of disaster mitigation and management. The mandate of the institute is to gear up the national, state and district level administration to tackle natural calamities and will also be coordinating research projects, training programmes and will build a database on natural disasters with case studies. The mission of the institute is to work as a think tank for the government by providing policy advice and facilitating capacity building services including strategic learning, research, training, system development and expertise promotion for effective disaster preparedness and mitigation.

NIDM works to design, develop and implement training programmes, undertake and coordinate research, formulate and implement the human resource development plan, provide assistance in national policy formulation, collaborate with and promote other research and training institutes, State Governments and other organizations for successfully discharging their tasks, develop educational wares for dissemination among stakeholders in addition to undertake any other function as assigned to it by the Central Government. The institute also works towards the effective application of disaster risk mitigation and management in India as well as the region by providing technical assistance for capacity building and developing disaster management systems and Institutional framework in the sector.

NIDM is envisioned to be a Centre of Excellence and Advance Learning in the fields of disaster management, in this part of the world. The key activities of the centre include:

Online Training for at the national/regional, state and district levels to prepare them to tackle natural and human induced disaster risks.

Research on hazard identification & assessment, prevention & mitigation issues, and concerns and lacunae in management of hazards, risk and loss events with case analysis of lessons learnt from past disasters events.

Documentation of best practices in disaster management, impact of disasters, case studies, situational analysis of relief and response measures, creation of database, preparation and dissemination of awareness materials, and publications in the form of newsletter, web-resource, flyers, journal, monographs, handbooks, etc.

## **Background & Details**

India is among the world's most disaster-prone countries. Nearly every sort of natural or man-made danger impacts one or more regions of India, and around one third of the country suffers from many risks. Natural catastrophes have a negative impact on both human and physical capital, as well as posing a major danger to India's economic growth. Additionally, repeated catastrophes put fiscal strain on the centre and state governments, as well as deteriorating people's social and economic situations.

In India, disaster management has progressed from a relief-focused approach to a "multi-dimensional pro-active comprehensive approach for risk reduction." The goal of assistance was not to compensate people for their losses (which is what insurance is for), nor to rebuild destroyed assets to pre-disaster levels, which are supposed to be covered by states from their own resources, or from the funds available under various plan schemes of the central government. Financial assistance in the wake of natural calamities is provided in accordance with the schemes of relief funds. These schemes are based on the recommendations of the successive Finance Commissions.

In the aftermath of natural disasters, financial aid is offered in accordance with relief fund programmes. These plans are based on previous Finance Commission proposals. For introduction, the FC is constituted by the Ministry of Finance every five years to suggest a formula for the allocation of tax revenues by the union government, between the federal and state governments, and between different state governments. It operates on terms of reference established by the Indian government, and its report, together with the central government's answers to its recommendations, is presented to parliament. In many cases, the SDRF and NDRF resources would be insufficient, and both the Union and State governments would be forced to seek disaster funding from other sources, such as reconstruction bonds, contingent credit/standby facility with international financial institutions, crowd funding platforms, and corporate social responsibility, to fill the gap between the funds, the 15<sup>th</sup> FC has constituted new recommendations which were made in accordance with the rules of the Disaster Management Act of 2005, like mitigation funds should be established at both the national and state levels and the Fund should be used to support community-based and local-level actions that decrease hazards and enhance environmentally sustainable settlements and livelihoods. It is important to understand the purpose of new recommendations to fully apply them into resourceful work.

Further to understand, as we all know insurance has a significant role in managing the risk of disaster. In the high vulnerability context, insurance is proposed to be an important tool for risk

management at all levels, which makes insurance also an important financial tool for disaster risk reduction. It provides for cost-effective coping with the financial effect of climate and weather hazards, also provides opportunities to establish public-private partnerships.

In this context National Institute of Disaster Management (NIDM) is organising a Webinar on “**Funding in Disaster Risk Reduction: 15<sup>th</sup> Finance Commission & Guidelines (Relief, Mitigation and Reconstruction)**” on **17 August 2022 at 11 am to 1 pm.**

## **Key Objectives**

After the completion of the programme the participants will be able to -

- List down the new recommendations of 15<sup>th</sup> Finance Commission.
- Describe various possible financing tools for Disaster Risk Reduction.
- Outline insurance as a beneficial tool in reducing the risk of disaster in various regions of India.

## **Participants**

Senior officials from finance departments of all the states, SDMAs, disaster management and district collectors and other stakeholders.

## **Webinar and Registration Details**

The webinar would be conducted at WebEx Platform. Interested participants may register through the NIDM training portal on the link <https://training.nidm.gov.in/>. The joining link for the webinar will be available at the NIDM training portal and would also be sent individually to the registered email addresses.

## **Duration**

The duration of the webinar is 2 hours for 1 day.

## **Language of Instruction**

The medium of instruction will be Hindi and English.

## **Programme Team**

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## Programme Schedule

Session Theme/Topic	Resource Persons	Time and Duration (Hrs.)
Welcome and Opening Remarks	Shri Taj Hassan, IPS, Executive Director, NIDM	11:00-11:10
<b>Panel Discussion</b>		
• NDRMF/ Recovery Funding	Dr. Krishna Vatsa, Member, NDMA	11:10-11:50
• National Disaster Response Fund	*Shri Sanjeev Jindal, JS (DM), MHA	11:50-12:30
• Capacity Building for DRR	Prof. Santosh Kumar, Head GIDRR and DRR, NIDM	12:30-12:50
Vote of Thanks	Ms. Shipra Das, Young Professional, NIDM	12:50-13:00

\*The following session was cancelled due to unavailability of the speaker.

## Workshop Summary

### **Prof Santosh Kumar, Head GIDRR and DRR, NIDM**

The speaker delivered the welcome address and also gave opening remarks. He emphasised the value of ex-ante funding for disaster risk reduction, which has been part of the DM Act since 2005 but has never been included in the budgetary process. Additionally, the 15th Finance Commission for disaster management now includes this important element as a result of the incredible work of MHA, NDMA, NIDM, and other stakeholders. The financial tools available for the catastrophe management strategy must be used effectively. In order to spread information about the financial instruments included in the 15th FC and to comprehend risk and catastrophe management, the NDMA and NIDM have started this discussion.

### **Dr. Krishna Vatsa, Member NDMA**

At the outset, he explained the 15th Finance Commission and how it has addressed all the layers, phases, aspects and dimensions of Disaster Risk Management (DRM). 15<sup>th</sup> FC has taken into account not only the requirements of the law as envisioned and enshrined in the Disaster Management Act 2005, but have taken a holistic look. It has adopted a visionary, radical and holistic approach in taking due cognisance of all aspects of DRM currently as well as emerging challenges and it definitely significantly alters the way financial provisions have thus far been allocated for a comprehensive approach to dealing with and managing disaster risks in our country with a view to reducing and mitigating the effects in the long run. Despite the fact that the country had a legal provision for National Disaster Mitigation Fund (NDMF), State Disaster Mitigation Fund (SDMF) and District Disaster Mitigation Fund (DDMF), it was unable to operationalize the fund due to various factors. After the DM Act 2005, the NDMF was set up in 2021, there are three windows within the National Disaster Response Fund (NDRF) and State Disaster Response Fund (SDRF) consisting of 40% for relief and response, 30% for recovery and reconstruction and 10% for preparedness and capacity building. All the functions of disaster management was finally reflected in the structure of disaster risk financing. Hence, all the State Disaster Management Authorities (SDMAs) in the country had access to the resources for disaster management. Guidelines and operational details should be prepared for better functioning of the funds available. The central government and the other stakeholders are working together to build a resilient foundation of these funding windows.

Moreover, the speaker explained the different funding windows and its function. At the national level, there is considerable overlap and linkages among these different functions, as a result the framing and finalisation of the guidelines is delayed. The guidelines were divided into two parts – operational guidelines which has already been issued, and the technical guidelines which will be issued soon. Along with the guidelines and the different funding windows, the

total amount allocated to the states has also been increased by more than hundred percent. In the 14<sup>th</sup> FC the total allocation was approximately 62000 Cr., which is now approximately 160,000 Cr. As a result all the states have adequate resources. It is important at the national level as well as in the state level the resources are spent for the purpose only for which the fund have been earmarked. We should not misallocate the resource or include our own understanding into it. Capacity building is important within the SDMAs and timely review the provisions of DM Act 2005. The department and SDMAs should come together and form the SDMA as laid down in the act. Most of the SDMAs are operating with nominal human resource which also affect the work. Every SDMA must consist of four divisions – 1) Mitigation Division, 2) Response and Relief Division, 3) Recovery and Reconstruction Division and 4) Preparedness and Capacity Building Division. There is a need to bring more professional resources at the District Disaster Management Authority (DDMA) and support them as the DDMA work in the ground-level. Huge number of programmes prepared by SDMAs are implemented by the DDMA, hence if the DDMA are working efficiently with adequate support the programmes will be successful among the community. Earthquake, landslides, urban flooding, coastal and river erosion, and drought are all the national challenges for which the earmarked allocations by the 15<sup>th</sup> FC has been made. For the modernisation and improvement of critical emergency services and fire services 5000 Cr has been allocated in the country. The Finance Commission has also emphasized to look at the feasibility of insurance mechanisms at the household and community level.

### **Questions and Answers**

1. *What finance mechanism is in place to allow money to flow through the system and eventually reach the district disaster management authority?*

Ans. The disbursement mechanism is same as earlier, the Finance Ministry and the MHA together release the money to the states, they need to budget and plan. There are some changes in the budget heads. The states needs to constitute the SDMF and they will receive the funds for this. There will be a budget head for the particular fund and they need to contribute their own share of 25% (for special category state 10%). All the expenditures incurred must be reported and accounted. To monitor the planning and expenditure of all the resources special dispensation would be set up.

2. *Tripura established the disaster mitigation fund in 2016 and appointed a head of account to administer its operation. The GoI has also provided the state allocation. What is the policy for the reimbursement of the service that was outsourced as consulting fees must be paid for the implementation of the projects, which cannot be done with these funds?*

Ans. The operational guidelines recently issued require modifications and also there is a need to update the guidelines according to the functioning which will be made later.

3. *What is the procedure for claiming mitigation fund allocated under earmarked schemes like flood mitigation?*

Ans. All the seven cities – Mumbai, Delhi, Chennai, Kolkata, Bengaluru, Ahmedabad, and Pune are invited for one day workshop to introduce the entire proposal. All the cities will have different urban flood mitigation programme. The cities will have to develop the program as per their requirements. NDMA will work together with these cities and provide the technical assistance for this purpose.

4. *How is State Disaster Mitigation Fund rooted through the investment in RBI?*

Ans. The finance department has to issue a notification constituting the SDMF, the modalities are provided in the finance commission report. It is important to go through the finance department.

5. *Can early warning system project for disaster management be taken under SDMF?*

Ans. The early warning is part of preparedness and it should not be taken from mitigation window.

## Key Takeaways

On the summary of the following key points have been highlighted:

1. The State Disaster Management Authorities should prepare a well-planned structure to effectively use the funds allocated under different windows for disaster management.
2. There is a need to prepare the guideline and operational details to utilise the funds and its functions.
3. It is important at the national level as well as in the state level the resources are spent for the purpose only for which the fund have been earmarked. We should not misallocate the resource or include our own understanding into it.
4. States should be rigorous in scrutinising the projects and taking approval considering the technical aspects of the projects.
5. Capacity building at the state and sufficient amount of human resource for efficient functioning of State Disaster Management Authority with more technical resources.
6. Need to support the District Disaster Management Authorities and strengthen them for better implementation of programmes prepared by the states.

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**Number of States Participated:**




S. No.	State
1	Andaman & Nicobar [AN]
2	Andhra Pradesh [AP]
3	Arunachal Pradesh [AR]
4	Assam [AS]
5	Bihar [BH]
6	Chandigarh [CH]
7	Delhi [DL]
9	Goa [GO]
10	Gujarat [GU]
11	Haryana [HR]
12	Himachal Pradesh [HP]
14	Jammu & Kashmir [JK]
15	Jharkhand [JH]
16	Karnataka [KR]
17	Kerala [KL]
18	Madhya Pradesh [MP]
19	Maharashtra [MH]
20	Manipur [MN]
21	Meghalaya [ML]
22	Orissa [OR]
23	Pondicherry [PC]
24	Punjab [PJ]
25	Rajasthan [RJ]
26	Sikkim [SK]
27	Tamil Nadu [TN]
28	Telangana
29	Tripura [TR]
30	Uttar Pradesh [UP]
31	Uttaranchal [UT]
32	West Bengal [WB]

## Glimpses of the Workshop

Via <b>webex</b> onlinenidm2@...	Chaman Prakash	Sanjeev Gupta	ANIL PUROHIT	Matsiewdor W...
Sarat Kumar Das	 Dr. Manoj Rajan Rajan	Arabinda Ray	Chandini P C ...	 Krishna Vatsa
Asst Comm - ...	Chandrasekha...	NSDMA, HOM...	 Prof Santosh Kumar	Sagar Valanju
Prashanth Ku...	Praveen Kishor	santhi swarup ...	SANJEEV KOHLI	Additional Sec...
Arvinder Pal Si...	Vaidyanathan ...	Parveen Bhard...	Nikhil Gangwar	CLINT MATHEW

Via <b>webex</b> onlinenidm2@...	Chaman Prakash	Sanjeev Gupta	ANIL PUROHIT	Matsiewdor W...
 Sarat Kumar Das	Dr. Manoj Raja...	Arabinda Ray	Chandini P C ...	 Krishna Vatsa
Asst Comm - ...	Chandrasekha...	NSDMA, HOM...	 Prof Santosh Kumar	Sagar Valanju
Prashanth Ku...	Praveen Kishor	santhi swarup ...	SANJEEV KOHLI	Additional Sec...
Arvinder Pal Si...	Vaidyanathan ...	Parveen Bhard...	Nikhil Gangwar	CLINT MATHEW

Via   webex by CISCO				
onlinenidm2@...	santosh	ANIL PUROHIT	Matsiewdor W...	
Sarat Kumar Das	Drat dc office ...	Arabinda Ray	Chandini P C ...	
Asst Comm - ...	Chandrasekha...		Obang Apum	Puja mishra
		NSDMA, HOME DEPARTMEN...		
Prashanth Ku...	Praveen Kishor	Rita Basanna	SANJEEV KOHLI	

		Sanjeev Gupta	ANIL PUROHIT	Matsiewdor W...
onlinenidm2@gmail.com (NIDM)	santosh			
Sarat Kumar Das	Drat dc office ...	Arabinda Ray	Chandini P C ...	
				Krishna Vatsa
Asst Comm - ...	Chandrasekha...	NSDMA, HOM...	Obang Apum	Puja mishra
Prashanth Ku...	Praveen Kishor	Rita Basanna	SANJEEV KOHLI	Additional Sec...
Arvinder Pal Si...	Dr. Rita Basanna	Parveen Bhard...	Nikhil Gangwar	CLINT MATHEW

# Poster





सत्यमेव जयते



Resilient India - Disaster Free India

*Webinar on*

## Funding for Disaster Risk Reduction: 15th Finance Commission & Guidelines (Relief, Mitigation & Recovery)

 **Wednesday, 17 August 2022** || **11:00 AM – 01:00 PM (IST)**

**Patron**



**Shri Taj Hassan, IPS**  
Executive Director  
NIDM, MHA, GoI

**Panelists**



**Shri Sanjiv K Jindal**  
Joint Secretary (DM)  
MHA, GoI



**Dr. Krishna Vatsa**  
Member  
NDMA



**Prof. Santosh Kumar**  
Head, GiDRR & DRR  
NIDM, MHA, GoI

ORGANIZED BY:

**National Institute of Disaster Management (NIDM)**  
Ministry of Home Affairs, Govt. of India



**COORDINATOR**  
**Ms. Shipra Das**  
Young Professional,  
NIDM, MHA, GoI

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